

Section ✓
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the **PATENT APPLICATION** of:

Halt et al.

Application No.: 09/886,491

Confirmation No.: 6089

Filed: June 21, 2001

For: ENVIRONMENTALLY FRIENDLY
PERSONAL IDENTIFICATION AND
TRACKING SYSTEM

Group: 3711

Examiner: Not Yet Known

Our File: HAL2-PT003

Date: October 16, 2001

**COMMUNICATION IN RESPONSE TO NOTICE
TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice To File Missing Parts Of Nonprovisional Application
mailed from the Office on August 16, 2001, the following are enclosed:

1. Copy of Notice To File Missing Parts Of Nonprovisional Application;
2. Fully executed Declaration for Utility or Design Patent Application;
3. Formal Drawings in triplicate; and
4. A check in the amount of \$65 as payment of the surcharge for a small entity.

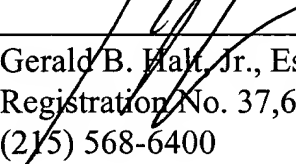
Applicant: Halt et al.
Application No.: 09/886,491

Although Applicants have not received a Notice To File Missing Parts - Incomplete Application, formal drawings are being submitted herewith.

Early consideration and allowance of the application is respectfully requested.

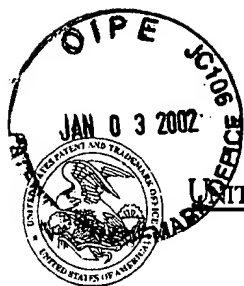
Respectfully submitted,

Halt et al.

By 
Gerald B. Halt, Jr., Esquire
Registration No. 37,633
(215) 568-6400

Volpe and Koenig, P.C.
Suite 400, One Penn Center
1617 John F. Kennedy Boulevard
Philadelphia, PA 19103

GBH/kag
Enclosures (4)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/886,491	06/21/2001	Andrew James Halt	HAL2-PT003

CONFIRMATION NO. 6089

3624

VOLPE AND KOENIG, P.C.
SUITE 400, ONE PENN CENTER
1617 JOHN F. KENNEDY BOULEVARD
PHILADELPHIA, PA 19103

FORMALITIES LETTER



OC000000006432517

Date Mailed: 08/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

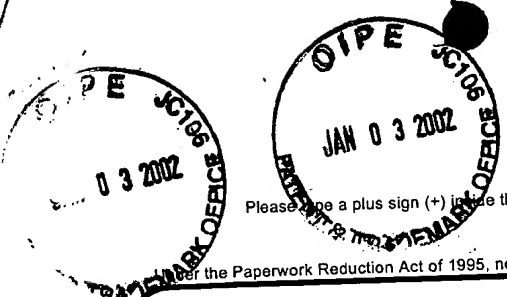
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/08/2002 BNGUYEN1 00000093 09886491

01 FC:205

65.00 OP



Please use a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

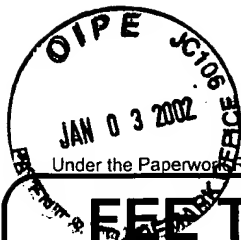
TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/886,491	
	Filing Date	June 21, 2001	
	First Named Inventor	Halt et al.	
	Group Art Unit	3711	
	Examiner Name	Not Yet Known	
Total Number of Pages in This Submission	19	Attorney Docket Number	HAL2-PT003

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual name	Gerald B. Halt, Jr., Esquire Volpe and Koenig P.C.	Reg. No. 37,633
Signature		
Date	10/16/01	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on this date: 10/16/01			
Typed or printed name	Gerald B. Halt, Jr., Esquire	Date	10/16/01
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Volpe and Koenig Revision of PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)
65**Complete if Known**

Application Number	09/886,491
Filing Date	June 21, 2001
First Named Inventor	Halt et al.
Examiner Name	Not Yet Known
Group Art Unit	3711
Attorney Docket No.	HAL2-PT003

METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	22-0493
Deposit Account Name	VOLPE AND KOENIG, P.C.

- ☒
- Charge any Deficiencies or Credit any Overpayment in the Total Fees Associated With This Communication

- ☒
- Applicant claims small entity status.
-
- See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

	Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370				Utility filing fee	
106	330	206	165				Design filing fee	
107	510	207	255				Plant filing fee	
108	740	208	370				Reissue filing fee	
114	160	214	80				Provisional filing fee	

SUBTOTAL (1) (\$)
0**2. EXTRA CLAIM FEES**

	Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims		** =	X	
Multiple Dependent		** =	X	

	Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9				Claims in excess of 20
102	84	202	42				Independent claims in excess of 3
104	280	204	140				Multiple dependent claim, if not paid
109	84	209	42				** Reissue independent claims over original patent
110	18	210	9				** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)
0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

	Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65				Surcharge - late filing fee or oath	65
127	50	227	25				Surcharge - late provisional filing fee or cover sheet	
139	130	139	130				Non-English specification	
147	2,520	147	2,520				For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*				Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*				Requesting publication of SIR after Examiner action	
115	110	215	55				Extension for reply within first month	
116	400	216	200				Extension for reply within second month	
117	920	217	460				Extension for reply within third month	
118	1,440	218	720				Extension for reply within fourth month	
128	1,960	228	980				Extension for reply within fifth month	
119	320	219	160				Notice of Appeal	
120	320	220	160				Filing a brief in support of an appeal	
121	280	221	140				Request for oral hearing	
138	1,510	138	1,510				Petition to institute a public use proceeding	
140	110	240	55				Petition to revive - unavoidable	
141	1,280	241	640				Petition to revive - unintentional	
142	1,280	242	640				Utility issue fee (or reissue)	
143	460	243	230				Design issue fee	
144	620	244	310				Plant issue fee	
122	130	122	130				Petitions to the Commissioner	
123	50	123	50				Processing fee under 37 CFR 1.17(q)	
126	180	126	180				Submission of Information Disclosure Stmt	
581	40	581	40				Recording each patent assignment per property (times number of properties)	
146	740	246	370				Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370				For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370				Request for Continued Examination (RCE)	
169	900	169	900				Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)
65**SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)	Gerald B. Halt, Jr. Esquire	Registration No. (Attorney/Agent)	37,633	Telephone	215-568-6400
Signature				Date	10/16/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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